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S TO A NOVALTTAIL		Applica	tion Number	10/032,796		
TRANSMITTAL FORM		Filing Date		December 26, 2001		
		First Na	med Inventor	Mark Thompson		
(to be used for all correspondence after in	Group A	rt Unit	2171			
		Examine	r Name			
Total Number of Pages in This Submission		Attorney	Docket Number	020375-003900US		
	ENCLO	OSURES (c	heck all that apply)			
Fee Transmittal Form		ment Paper A <i>pplication)</i>	s	After Allowance Communic Group	cation (	
Fee Attached	☐ Drawin	g(s)		Appeal Communication to Appeals and Interferences		
Amendment / Response	Licensing-related Papers			Appeal Communication to (Appeal Notice, Brief, Reply B		
After Final	and Ad	companyin	-	Proprietary Information		
Affidavits/declaration(s)		n to Convertional Applica		Status Letter		
Extension of Time Request			Revocation condence Address	Return Postcard  Other Enclosure(s) (please identify below):		
Express Abandonment Request		al Disclaime		Declaration Power of Attorney Required copy of Notice		
Information Disclosure Statement	CD, Nu	umber of CE	O(s)	Missing Parts		
Certified Copy of Priority Document(s)	Rema		The Commissioner of Deposit Account	is authorized to charge any add 20-1430.	litiona	
Response to Missing Parts/ Incomplete Application						
Response to Missing Parts under 37 CFR 1.52 or 1.53						
			NT, ATTORNEY, C	OR AGENT		
Firm Townsend and Individual name			ew LLP			
Signature	<u> </u> -					
Date 4/3/02						
	CE	RTIFICAT	E OF MAILING			
I hereby certify that this correspondence is mail in an envelope addressed to: Assistan	= -			1/ 0		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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e through 10/31/2002. OMB 0651-0032 Approve ce: U.S. DEPARTMENT OF COMMERCE Patent and Trademark

Complete if Known

10/032,796

2171

December 26, 2001

020375-003900US

the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**Application Number** 

Filing Date

Group Art Unit

# **FEE TRANSMITTAL** for FY 2001

First Named Inventor Mark Thompson Patent fees are subject to annual revision. **Examiner Name** 

TOTAL AMOUNT OF PAYMENT 130 Attorney Docket No.

METHOD OF PAYMENT						FEE CALCULATION (continued)							
The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:						3. ADDITIONAL FEES							
1. —		indica	tea rees	and credit any over payments to:	Large	Entity	Small	Entity					
Deposit	ı				Fee	Fee	Fee	Fee	Fee Description	Fee			
Accoun	t	20-1	1430		Code 105	(\$) 130	Code 205	(\$) 65	Surcharge - late filing fee or oath	Paid 130			
Number						50	227	25	Surcharge - late provisional filing fee or cover sheet.				
Deposit Account Townsend and Crow LLB						130	139	130	Non-English specification				
Account Townsend and Townsend and Crew LLP Name						2,520	147	2,520	For filing a request for reexamination	<u></u>			
Name						920*	112	920*	Requesting publication of SIR prior to				
Under 37 CFR 1.16 and 1.17 Applicant claims small entity status.						1,840*	113	1,840*	Examiner action				
		FR 1.27	ian enui	y status.	113	1,040	113	1,040	Requesting publication of SIR after Examiner action				
2. 🗆 F	aymer	nt Enclo	sed:		115	110	215	55	Extension for reply within first month				
☐ Check ☐ Credit card ☐ Money ☐ Other					116	400	216	200	Extension for reply within second month				
Order					117	920	217	460	Extension for reply within third month				
1. BA	SIC FII	ING FE		ALCULATION	118	1,440	218	720	Extension for reply within fourth month				
	intity 3		Entity		128	1,960	228	980	Extension for reply within fifth month				
-				Fee Description	119	320	219	160	Notice of Appeal				
Code (	<b>\$</b> ) (	Code	(\$)	Fee Paid	120	320	220	160	Filing a brief in support of an appeal				
101 7	40 2	201	370	Utility filing fee	121	280	221	140	Request for oral hearing				
			165 255	Design filing fee Plant filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding				
108 7	40 2	208	370	Reissue filing fee	140	110	240	55	Petition to revive – unavoidable				
114 1	60 2	214	80	Provisional filing fee	141	1,280	241	640	Petition to revive - unintentional				
					142	1,280	242	640	Utility issue fee (or reissue)				
		SU	BTOTA	L (1) (\$)	143	460	243	230	Design issue fee				
2. EXTRA	CL AIR	I EEES			144	620	244	310	Plant issue fee				
Z. EXTRA	CLAIN	IFEES		Extra Fee from Fee	122	130	122	130	Petitions to the Commissioner				
otal Claims			_	Claims below Paid	123	50	123	50	Petitions related to provisional applications				
ndependent Claims		-3••	<u> </u>	x =	126	180	126	180	Submission of Information Disclosure Stmt				
Multiple Dependent	L		L	x =	581	40	581	40	Recording each patent assignment per property (times number of properties)				
Large Fee	Entity Fee	Small Fee	Entit Fee	•	146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))				
Code 103	(\$) 18	Code 203	(\$) 9	Fee Description Claims in excess of 20	149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))				
102	84	202	42	Independent claims in excess of 3	179	740	279	370	Request for Continued Examination (RCE)				
104	280	204	140	Multiple dependent claim, if not paid									
109	84	209	42	** Reissue independent claims over original patent	169	900	169	900	Request for expedited examination of a design application				
110 18 210 9 ** Reissue claims in excess of 20 and over original patent					Other fee (specify)								
SUBTOTAL (2) (\$)					The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.								
					*Redu	ced by Ba	sic Filin	g Fee Pa	aid SUBTOTAL (3) (\$)130				
**or numbe	r previou	ısly paid, i	if greater	r; For Reissues, see above	<u>l</u>								

SUBMITTED BY						omplete (if applicable)	_
Name (Print/Type)	Darin J. Gibby	$\mathcal{M}$	Registration No. (Attorney/Agent)	38,464	Telephone	303-571-4000	
Signature					Date	413/02	

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## ED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/032,796

12/26/2001

Mark Thompson

020375-003900US

**CONFIRMATION NO. 7212** 

20350 TOWNSEND AND TOWNSEND AND CREW, LLP TWO EMBARCADERO CENTER EIGHTH FLOOR SAN FRANCISCO, CA 94111-3834



FORMALITIES LETTER

\*OC000000007412085\*

Date Mailed: 02/04/2002

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
   A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

Linh C

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